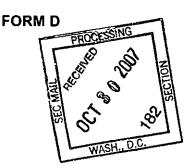
1417199



# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

er: 3235-0076

# SEC USE ONLY Prefix Serial DATE RECEIVED

# FORM D

NOTICE OF SALE OF SECURITIES
PURSUANT TO REGULATION D,
SECTION 4(6), AND/OR
UNIFORM LIMITED OFFERING EXEMPTION

	<del></del>				
Name of Offering: THE DALRYMPLE CO	OMMODITIES FUT	URES FUND, LP	Offering of L	imited Partnership Inte	erests
Filing Under (Check box(es) that apply):	☐ Rule 504	☐ Rule 505	Rule 506	☐ Section 4(6)	ULOE
Type of Filing:	New Filing	☐ Amendment			PROCESSED
· · · · · · · · · · · · · · · · · · ·	A. B.	ASIC IDENTIFICA	TION DATA		h
1. Enter the information requested about the is	suer				NOV 0 6 2007
Name of Issuer ( check if this is an am	endment and name ha	s changed, and indica	ate change.)		THOMAS
THE DALRYMPLE COMMODITIES FUTU	RES FUND, LP			·	THOMSON
Address of Executive Offices	(Number	and Street, City, State	e, Zip Code)	Telephone Number (Includ	ling Area CHANCIAL
c/o Swank Capital, LLC, 3300 Oak Lawn Aver	ue, Suite 650, Dallas,	Texas 75219		(214) 635-6334	
Address of Principal Business Operations	(Number	and Street, City, State	e, Zip Code)	Telephone Number (Includ	ling Area Code)
Brief Description of Business: To operate a	s a private investn	nent limited partr	ership.	3/00/11/01/21/01	
Type of Business Organization		****			
☐ corporation	Imited partners	ship, already formed	<b>□</b> o	ther (please s	
□ business trust	☐ limited partner	ship, to be formed		0	7081917
Actual or Estimated Date of Incorporation or O  Jurisdiction of Incorporation: (Enter two-letter CN for Canac			0		☐ Estimated

## GENERAL INSTRUCTIONS

### Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6).

When to File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

### State

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

### ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

		A. BASIC IDENTIFI	CATION DATA			
2. Enter the information	n requested for the following					
Each promoter of the	e issuer, if the issuer h	as been organized within the past	five years:			
<ul> <li>Each beneficial own</li> </ul>	er having the power to	vote or dispose, or direct the vot	e or disposition of, 10% or n	nore of a class of equ	uity sec	unities of the issuer;
	er and director of corp maging partner of part	orate issuers and of corporate gen	neral and managing partners	of partnership issue	rs; and	
Check Box(es) that Apply:	Promoter	Beneficial Owner	☐ Executive Officer	☐ Director	×	General and/or
				_		Managing Partner
Full Name (Last name first, if in	•					
<del></del>		the "General Partner" or the "GF	)")			
Business or Residence Address		•				
3300 Oak Lawn Avenue, Suite						- 1 II
Check Box(es) that Apply:	Promoter	☐ Beneficial Owner	the General Partner of the GP	☐ Director		General and/or Managing Partner
Full Name (Last name first, if ir	idiviđual)					
SWANK CAPITAL, LLC (th	e General Partner of t	he GP)				
Business or Residence Address	(Number and Street,	City, State, Zip Code)			,	
3300 Oak Lawn Avenue, Suite	650, Dallas, Texas 75	219				
Check Box(es) that Apply:	⊠ Promoter	■ Beneficial Owner	Manager of the General Partner to th	Director		General and/or Managing Partner
Full Name (Last name first, if it	ndividual)			-		
SWANK, JERRY V.						
Business or Residence Address	(Number and Street,	City, State, Zip Code)				
c/o Swank Capital, LLC, 3300 (	Oak Lawn Avenue, Su	ite 650, Dallas, Texas 75219				
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	☐ Executive Officer	☐ Director		General and/or Managing Partner
Full Name (Last name first, if it	ndividual)					
Business or Residence Address	(Number and Street,	City, State, Zip Code)			_	
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	☐ Executive Officer	☐ Director		General and/or
Full Morro (Last name Cint. 161)	. dt t. d 1V		<del></del>			Managing Partner
Full Name (Last name first, if in	idividuai)					
Business or Residence Address	(Number and Street.	City, State, Zip Code)	**************************************	·		
	•					
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	☐ Executive Officer	☐ Director		General and/or Managing Partner
Full Name (Last name first, if in	ndividual)		· <del></del>			
Business or Residence Address	(Number and Street,	City, State, Zip Code)	···			
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	☐ Executive Officer	☐ Director		General and/or Managing Partner
Full Name (Last name first, if in	ndívidual)					
Business or Residence Address	(Number and Street	City, State, Zip Code)				

	-				B, 1	NFORMA	ATION A	BOUT O	FFERING					-
									•				Yes	No
1.	Has the issuer	sold, or do	oes the issue	r intend to					-			•••••		$\boxtimes$
2.	What is the m	inimum in	vactment the	at will be e				umn 2, if fi	_				\$1,000	.000 *
۷.	What is the in		vestilient tra	at will be a	cepted 110	in any mor	viduai :	*****************	***************	***************************************	*************		3 <u>1,000</u> Yes	No
*(4	Any lesser am	ount is a	it the sole	discretio	on of the	General .	Partner.)							
3.	Does the offer				=		-						X	
4.	Enter the info		•	-	_									neration for
	solicitation of registered with a broker or de	purchaser the SEC	s in connec and/or with	tion with s a state or s	ales of sec tates, list th	urities in the name of	he offering the broker	. If a perso or dealer. I	on to be lis	ted is an a	ssociated p	erson or ag	ent of a brok	er or dealer
Full	l Name (Last nai	ne first, if	individual)											
NO	NE													
Bus	siness or Resider	ce Addres	s (Number a	and Street,	City, State,	Zip Code)					•			
Nar	ne of Associated	Broker or	Dealer							<u>-</u>		<del>, · · · · · · · · · · · · · · · · · · ·</del>	•	
Stat	tes in Which Per	son Listed	Has Solicit	ed or Intend	is to Solici	t Purchaser	s							
	(Check "All S	tates" or c	heck individ	lual States)								,,,,,,,	🗖 All S	tates
	[AL]	[AK]	[AZ]	[AR]	[CA]	[CO]	[CT]	(DE)	[DC]	[FL]	[GA]	(HI)	[ID]	
	[IL] [MT]	[IN] [NE]	[IA] [NV]	[KS] [NH]	[KY] [NJ]	[LA] [NM]	[ME] [NY]	(MD) (NC)	[MA] [ND]	[MI] [OH]	[MN] [OK]	[MS] [OR]	[MO] [PA]	
	[RI]	[SC]	[SD]	[TN]	[TX]	[UT]	[VT]	[VA]	[WA]	[WV]	[WI]	[WY]	[PR]	
Full	l Name (Last nai	ne first, if	individual)			·								
Bus	siness or Resider	ice Addres	s (Numbe	r and Street	, City, Stat	e, Zip Code	e)							
Nar	ne of Associated	Broker or	Dealer								<u>.</u>			
Stat	tes in Which Per	son Listed	Has Solicit	ed or Intend	ls to Solici	t Purchaser	s							
	(Check "All S	tates" or cl	heck individ	lual States)			•••••						🗖 All S	States
	[AL]	[AK]	[AZ]	[AR]	[CA]	[CO]	[CT]	[DE]	[DC]	(FL)	[GA]	(HI)	[ID]	
	[IL] [MT]	[IN] [NE]	[IA] [NV]	[KS] [NH]	[KY] [NJ]	[LA] [NM]	[ME] [NY]	[MD] [NC]	[MA] [ND]	[MI] [OH]	[MN] [OK]	[MS] [OR]	[MO] [PA]	
	[RI]	[SC]	[SD]	[TN]	[TX]	[UT]	[VT]	[VA]	[WA]	[WV]	[MI]	[WY]	[PR]	
Full	l Name (Last nai	ne first, if	individual)											
Bus	siness or Resider	ice Addres	s (Numbe	r and Street	, City, Stat	e, Zip Code	e)							
Nar	ne of Associated	Broker or	Dealer				<u></u>			···		•		
Stat	tes in Which Per	son Listed	Has Solicit	ed or Inten	ls to Solici	t Purchaser	<u> </u>							-
Jul	(Check "All S						•						🗖 All S	itates
	(Clieck All S	[AK]	[AZ]	(AR	[CA]	[CO]	[CT]	[DE]	[DC]	[FL]	[GA]	(HI)	[ID]	
	[IL]	[IN]	[IA]	[KS]	[KY]	[LA]	[ME]	[MD]	[MA]	[MI]	[MN]	[MS]	[MO]	
	[MT] [RI]	[NE] [SC]	[NV] [SD]	[NH] [TN]	[NJ] [TX]	[MM] [UT]	[NY] [VT]	[NC] [VA]	[ND] [WA]	[OH]	[OK] [WI]	[OR] [WY]	[PA] [PR]	

(Use blank sheet, or copy and use additional copies of this sheet, as necessary.)

I.	Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box $\square$ and indicate in the columns below the amounts of securities offered for exchange and already exchanged.		
	Type of Security	Aggregate Offering Price (1)	Amount Aiready Sold (2)
	Debt	s	s
	Equity	<b>s</b>	\$
	□ Common □ Preferred		
	Convertible Securities (including warrants)	\$	\$
	Partnership Interests	\$ <u>750,000,000</u>	\$ <u>15,376,154</u>
	Other (specify)	<b>s</b>	\$
	Total	\$750,000,000	\$15.376,154
	Answer also in Appendix, Column 3, if filing under ULOE.	<u>-</u>	
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."		
		Number investors (2)	Aggregate Dollar Amount of Purchases (2)
	Accredited Investors	7	\$ <u>15,376,154</u>
	Non-accredited Investors	0	\$ 0
	Total (for filings under Rule 504 only)	N/A	\$ N/A
	Answer also in Appendix, Column 4, if filing under ULOE.		-
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C - Question 1.		
	Type of offering	T 65	Dollar Amount
	Rule 505	Type of Security N/A	Sold \$ <u>N/A</u>
	Regulation A	N/A	\$N/A
	Rule 504 Total	N/A N/A	\$ <u>N/A</u> \$ N/A
4.	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be		
	given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.	_	
		_	\$ <u>-0-</u>
	check the box to the left of the estimate.	_	\$ <u>-0-</u> \$ <u>-0-</u>
	check the box to the left of the estimate.  Transfer Agent's Fees		
	Check the box to the left of the estimate.  Transfer Agent's Fees  Printing and Engraving Costs		\$ <u>-0-</u> \$ <u>30,000</u> \$ <u>5,000</u>
	Check the box to the left of the estimate.  Transfer Agent's Fees  Printing and Engraving Costs  Legal Fees		\$ <u>-0-</u> \$ <u>30,000</u> \$ <u>5,000</u> \$ <u>-0-</u>
	Check the box to the left of the estimate.  Transfer Agent's Fees  Printing and Engraving Costs  Legal Fees  Accounting Fees		\$ <u>-0-</u> \$ <u>30,000</u> \$ <u>5,000</u>
	check the box to the left of the estimate.  Transfer Agent's Fees  Printing and Engraving Costs  Legal Fees  Accounting Fees  Engineering Fees		\$ <u>-0-</u> \$ <u>30,000</u> \$ <u>5,000</u> \$ <u>-0-</u>

	C. OFFERING PRICE, NUMBE	R OF INVESTORS, EXPENSES AND US	E OF PROCEEDS	S
	b. Enter the difference between the aggregate offering pri total expenses furnished in response to Part C - Question 4.a to the issuer."	. This difference is the "adjusted gross proceeds	\$ <u>749,9</u>	60,000
5.	Indicate below the amount of the adjusted gross proceeds to the purposes shown. If the amount for any purpose is not knowleft of the estimate. The total of the payments listed must enforth in response to Part C - Question 4.b above.	own, furnish an estimate and check the box to the		
			Payments to Officers, Directors, and Affiliates	Payments to Others
	Salaries and fees		X \$_(4)	<b>-</b> \$
	Purchases of real estate		□ \$	□ \$
	Purchase, rental or leasing and installation of machinery and	equipment	□ \$	<b></b>
	Construction or leasing of plant buildings and facilities	<b>-</b> \$	<b>□</b> \$	
	Acquisition of other businesses (including the value of securit may be used in exchange for the assets or securities of anothe	ties involved in this offering that r issuer pursuant to a merger)	<b>□</b> \$	<b>□</b> \$
	Repayment of indebtedness		□ \$	<b>-</b> \$
	Working capital		<b>\$</b>	□ \$
	Other (specify): Portfolio Investments		□ \$	<b>区</b> \$ <u>749,960,000</u>
	Column Totals		× \$_(4)	<b>☒</b> \$ <u>749,960,000</u>
	Total Payments Listed (column totals added)		<b>⊠</b> \$ <u>7</u>	49,960,000
		D. FEDERAL SIGNATURE	<del>.</del>	
an i	e issuer has duly caused this notice to be signed by the undersign undertaking by the issuer to furnish to the U.S. Securities and En- accredited investor pursuant to paragraph (b)(2) of Rule 502.			
lssi	uer (Print or Type)	Signature	Date	
TH LP	E DALRYMPLE COMMODITIES FUTURES FUND,	W Smile	101181	07
Sw	ANK ENERGY INCOME ADVISORS, L.P., E GENERAL PARTNER	Title of Signer (Print or Type)		
	VANK CAPITAL, LLC, ITS GENERAL PARTNER	JERRY V. SWANK, MANAGER OF SWANK (	CAPITAL, LLC	
		<del></del>		

(4) Swank Energy Income Advisors, L.P. will be entitled to receive a management fee. Swank Capital, LLC will be entitled to receive a performance allocation. The management fee and the performance allocation are discussed in greater detail in the Issuer's confidential offering materials.

**ATTENTION** 

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)

		E	E. STATE SIGNATURE				
	·					Yes	No
1.	Is any party described in 17 CFR 230.262 presen	ttly subject to an	ny of the disqualification pro	visions of such rule?			
		See Appendix,	, Column 5, for state response.	NOT APPLICABLE			
2.	The undersigned issuer hereby undertakes to fun such times as required by state law.	nish to any state	e administrator of any state in	n which this notice is file	d, a notice on Form	ı D (17 CFR	239.500) at
3.	The undersigned issuer hereby undertakes to furr	nish to the state	administrators, upon written	request, information fun	nished by the issuer	to offerees.	
4.	The undersigned issuer represents that the issuer (ULOE) of the state in which this notice is filed these conditions have been satisfied.  NOT A						
The pers	issuer has read this notification and knows the coron.	ntents to be true	and has duly caused this not	ice to be signed on its be	half by the undersig	gned duly au	ıthorized
lssu	er (Print or Type)	Signature	1		Date		
Fu	E DALRYMPLE COMMODITIES FUTURES ND, LP		WSM		10/18/0	)P	
Sw THI Sw	ne (Print of Type) ANK ENERGY INCOME ADVISORS, L.P., E GENERAL PARTNER ANK CAPITAL, LLC, ITS GENERAL BETNER	Title (Print or	Type)	WAND CADITAL III			

### Instruction:

Print the name and title of the signing representative under his signature for the state portion of this form. One copy of every notice on Form D must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

				API	PENDIX	·			
1	Intend to non-a investor	I to sell accredited s in State I-Item I)	3 Type of security and aggregate offering price offered in state (Part C-Item 1)		Type of investor and amount purchased in State (Part C-Item 2)				
State	Yes	No	\$750,000,000 in Partnership Interests	Number of Accredited Investors	Amount	Number of Non-Accredited Investors	Amount	Yes	No
AL				<del></del>					
AK									
AZ									
AR									
CA	_								
со									ļ
СТ									
DE									
DC									
FL									
GA									
н									
ID									
IL									
IN									
IA									
KS									
KY							,		
LA									
ME									
MD									
MA									
MI									
MN									
MS									
МО									
MT				· · · · · · · · · · · · · · · · · · ·					
NE									
NV									

				API	PENDIX					
1	Intend to non-a investor	2 to sell accredited s in State 1-ltem 1)	Type of security and aggregate offering price offered in state (Part C-Item 1)		Type of investor and amount purchased in State (Part C-Item 2)					
State	Yes	No	\$750,000,000 in Partnership Interests	Number of Accredited Investors	Amount	Number of Non-Accredited Investors	Amount	Yes	No	
NH										
IJ									<u></u>	
NM										
NY										
NC										
ND										
ОН				1						
ОК										
OR								_		
PA										
RI					<u> </u>					
SC				-						
SD		<u> </u>								
TN								<del></del>		
TX		X	See Above	7	\$15,376,154	N/A	N/A	N/A	N/A	
UT				<u>.</u>						
VT										
VA										
WA										
WV										
WI										
WY							-			
PR			ļ		1					

(Use blank sheet, or copy and use additional copies of this sheet, as necessary.)

